

**Federal and State Program Services Team (Z079)
Overview**

Program Purpose: The Federal and State Program Services Team supports, through federal and state programs activities, the requirements for all Maine learners to achieve Maine's *Learning Results*. State programs include adult education, guidance and counseling, school approval, truancy and dropouts and educator certification. Federal responsibilities and programs include No Child Left Behind (NCLB), Title I, Migrant Education, Title III Limited English Proficient, and Title VIII McKinney-Vento Homeless.

Population Served: The program summary pages that follow describe the population served by each of the individual programs within the Federal and State Program Services Team.

Program Area	Enrollment
[Please see Program Summary pages]	--
Total	--

Financial Data: Aggregate financial data for the Federal and State Program Services Team is presented here. The program summary pages that follow describe the financial data for each of the individual programs within this Department Team.

	FY 2009 Actual	FY 2010 Actual	FY 2011 Budgeted
General Fund	\$ 800,066	\$ 804,462	\$ 711,814
Federal Expenditures Fund	53,618,698	51,270,678	61,979,139
Other Special Revenue Funds	424,807	380,873	594,720
Federal ARRA Funds	0	24,068,416	24,309,724
Total All Funds	\$54,843,571	\$ 76,524,429	\$ 87,595,397

Leadership and Staff Support: The Policy Director/Team Leader who provided administrative leadership for the Federal and State Program Services Team has retired. Aggregate staff support for the Department Team programs include:

Funding Source	Legislative Count -- Position
General Fund	(4.0) Office Associate II (1.0) Clerk IV (1.0) Office Specialist I (4.0) Education Specialist III (1.0) Public Service Manager II
Federal Expenditures Fund	(1.0) Clerk IV (1.0) Office Assistant II (0.5) Office Associate II (1.0) Education Specialist I (1.0) Education Specialist II (3.0) Education Specialist III (1.0) Accountant II (0.288 FTE) Migrant Education Field Recruiter (0.288 FTE) Migrant Education Field Recruiter
Other Special Revenue Funds	(1.0) Education Specialist III
Total	(20.5) Legislative Count – Position (0.576) FTE

Performance Data: The program summary pages that follow describe the performance data that is available for each of the individual programs within the Federal and State Program Services Team.

Program: Educator Certification
Department Team: Federal & State Program Services (Z079)

Program Purpose: The purpose of the Educator Certification program is to ensure that all educational personnel working in State approved schools, both public and private, hold proper certification, authorization or approval. This program supports the achievement of Maine's *Learning Results* by assuring that all Maine children are taught by competent, qualified educators. The Certification Team evaluates, issues, renews, and revokes all administrator, teacher, and educational specialist certificates; it also evaluates, issues, and revokes all educational technician authorizations; it issues criminal history record check approval to all certificate and authorization holders and to other school personnel not required to hold certification or authorization, including substitutes and contracted service providers; and it reviews and takes action on all charges of criminal and civil allegations, including child abuse, reportedly committed by any certified, authorized, or approved school personnel or potential school personnel. A further purpose is to assist eligible members of the Armed Forces to obtain certification or licensing as elementary school teachers, secondary school teachers, or vocational or technical teachers, and to become highly qualified teachers; facilitate the employment of such members by schools receiving grants under The No Child Left Behind Act of 2001, Title I-A; and in particular address a shortage of science, mathematics, special education, or vocational or technical teachers.

Population Served:

Program Area	Constituents Served
Individuals seeking new certification, authorization, or approval	10,486
Individuals seeking renewed certification, authorization, or approval	13,958
School Administrative Units	160
School Administrators	2,304
Child Development System Administrators	9
Other State Certification and State Licensure Agencies	49
Local/Regional Certification Support System	180
Special Purpose Private Schools	34
Eligible members of the armed forces	Currently 55 actively teaching in Maine; Approximately 45 new contacts per year; and 110 advising sessions for others
Institutions of Higher Education	All Maine universities, colleges, and community colleges
Total	Approx. 28,000

Financial Data:

	FY 2009 Actual	FY 2010 Actual	FY 2011 Budgeted
General Fund	\$537,318	\$540,471	\$558,298
Total All Funds	\$537,318	\$540,471	\$558,298

Leadership and Staff Support: Mark Cyr, Team Coordinator, provides administrative leadership for the Certification Team. Staff support for the program includes:

Funding Source	Legislative Count -- Position
General Fund	(3.0) Education Specialist III (3.0) Office Associate II (1.0) Public Service Manager II (1.0) Clerk IV
Total	(8.0)

Performance Data: The Department conducts internal reviews of the effectiveness of the process for ensuring certifications for all educational personnel working in State approved schools, both public and private, who must hold proper State of Maine certification, authorization, or approval.

Maine submits an annual “State Report Card” to the United States Department of Education (USDE) pursuant to federal requirements under the No Child Left Behind (NCLB) Act. The report includes data on teacher quality for all public elementary and secondary school teachers in the State related to the “highly qualified teacher” requirements of the NCLB Act. The Annual USDE Consolidated State Performance Report submission regarding Teacher Quality can be found at the MDOE website: <http://www.maine.gov/education/nclb/reportcard/index.html>.

Source: MDOE Program Personnel and MDOE Program Evaluation Report (November 2007)
Updated (September 2010).

Program: Criminal History Record Check Fund
Department Team: Federal & State Program Services (Z014)

Program Purpose: This fund is a dedicated, non-lapsing, revenue fund within the Department of Education for the deposit of any fees collected for the completion of criminal history record checks of educational personnel applicants. Funds collected are utilized to reimburse the Department of Public Safety for the cost of conducting fingerprinting sessions and for the cost of required state and national criminal history record checks.

Population Served:

Program Area	Enrollment
New certifications, authorizations, approvals	10,486
Renewal of certifications, authorization, approvals	13,958
Total	24,444

Financial Data:

	FY 2009 Actual	FY 2010 Actual	FY 2011 Budgeted
Other Special Revenue Funds	\$351,407	\$311,224	\$475,352
Total All Funds	\$351,407	\$311,224	\$475,352

Leadership and Staff Support: Mark Cyr, Team Coordinator, Teacher Certification, provides administrative leadership for the program. The headcount and the funding for this position reside in the Federal and State Program Services General Fund account. There is no additional staff support for the program within the Department of Education.

Performance Data: Maine statutes and Maine DOE rules provide that State and federal criminal history record information may be used for the purpose of screening educational personnel applicants by the Commissioner of Education in order to determine whether certification, authorization, approval or renewal of educational personnel is granted or maintained. With a few exceptions, the results of criminal history record checks received by the Commissioner of Education are for official use only and may not be disseminated outside the department. The Maine DOE is authorized to provide information to a national association of state directors of teacher education and certification to which the State belongs; and the Maine DOE may publish and release as public information statistical summaries of complaints and dispositions as long as the release of such information does not jeopardize the confidentiality of individually identifiable information.

Program: School Improvement Grants
Department Team: Federal & State Program Services (Z079)

Program Purpose: The purpose of this program is to provide grants to local educational agencies (LEAs) that demonstrate the greatest need and the strongest commitment to use the funds to provide adequate resources in order to raise substantially the achievement of students in their lowest-performing schools. In general, State educational agencies (SEAs) must give priority to LEAs with Title I eligible schools ranked in the bottom five percent of such schools, based on student achievement and lack of progress in improving student achievement, as well as secondary schools with a graduation rate below 60 percent over a number of years. LEAs seeking funding to serve such schools must implement one of four school intervention models: turnaround model, restart model, school closure, or transformation model.

Population Served:

Program Area	Enrollment
N/A	N/A
Total	--

Financial Data: Federal funding for this program is authorized under the Elementary and Secondary Education Act of 1965, as amended, Title I, and the American Recovery and Reinvestment Act of 2009.

	FY 2009 Actual	FY 2010 Actual	FY 2011 Budgeted
Federal Expenditures Fund	\$377,447	\$367,165	\$ 2,021,146
Federal ARRA Funds	0	0	11,118,773
Total All Funds	\$377,447	\$367,165	\$13,139,919

Leadership and Staff Support: Rachelle Tome, Director, provides administrative leadership for the program. Staff support for the program includes:

Funding Source	Legislative Count -- Position
Federal Expenditures Fund	(1.0) Education Specialist III
Total	(1.0)

Performance Data:

No performance data is reported for this program by the Maine DOE. The Maine DOE provides information to the Legislature upon request.

**Program: Title I Grants to Local Educational Agencies
Department Team: Federal & State Program Services (Z079)**

Program Purpose: The purpose of this program is to ensure that all children have a fair, equal, and significant opportunity to obtain a high-quality education and reach, at a minimum, proficiency on challenging State academic achievement standards and state academic assessments. The program provides supplemental services to students most at risk of not meeting Maine's *Learning Results* in Title I-A eligible schools.

Population Served: The following data is reported for the 2010-2011 school year:

Program Area	Constituencies Served
Local Educational Agencies	167
Total	167

Financial Data: Federal funding for this program is authorized under the Elementary and Secondary Education Act of 1965, as amended, Title I, Part A and the American Recovery and Reinvestment Act of 2009.

	FY 2009 Actual	FY 2010 Actual	FY 2011 Budgeted
Federal Expenditures Fund	\$49,322,630	\$47,084,164	\$52,339,443
Federal ARRA Funds	0	23,932,605	13,190,951
Total All Funds	\$49,322,630	\$71,016,769	\$65,530,394

Leadership and Staff Support: Rachelle Tome, Director, provides administrative leadership for the program. Staff support for the program includes:

Funding Source	Legislative Count -- Position
Federal Expenditures Fund	(1.0) Clerk IV (1.0) Accountant II (1.0) Education Specialist I (2.0) Education Specialist III (1.0) Office Assistant II
Total	(6.0)

Performance Data: Performance-related data for the Title I, Part A, Improving the Academic Achievement of the Disadvantaged Program is collected annually and reported to the United States Department of Education (USDE) in compliance with Part A of Title I of the federal Elementary and Secondary Education Act (ESEA). The 2009-2010 State NCLB Report Card for Maine can be found at: <http://www.maine.gov/education/nclb/reportcard/stasum.pdf>.

Note: Maine Title I, Part A Improving the Academic Achievement of the Disadvantaged Program information, including links to federal requirements, can be found at the Maine DOE website at: <http://www.maine.gov/education/nclb/tia/home.htm>.

**Program: Truancy, Dropout Prevention, Reintegration, Alternative & Homeless Education
Department Team: Federal & State Program Services (Z079)**

Program Purpose: The program ensures that consultation and technical assistance are provided to the Department of Education and to school administrative units in the program area through research, data collection, training programs, model development, and evaluation of programs; and that required federal reports for the programs are complete and submitted on time.

Population Served: Data for selected program areas for the 2008-2009 school year is as follows:

Program Area	Population
Habitual truants	1,409
Dropouts	2,162
Students age 16-20 served by adult education programs	2,199
Reintegrated youth from Youth Development Centers	38
Homeless youth enrolled in public schools	1,110

Financial Data: The federal funding for this program is authorized under Title VII, The McKinney-Vento Homeless Assistance Act of 1987, as amended. Monies in the Other Special Revenue Funds account come from a dedicated, interest-bearing account established by the 112th Legislature. P.L. 1985, c. 774, section 7 established the Permanent School Fund to receive all money from sales of lands appropriated for the support of schools. The legislation specified that interest and investment earnings were to be used for: (1) alternative education, school dropouts and truants; (2) allocations to school administrative units for the purpose of surveying school systems and developing school plans, and (3) payment of fees for high school equivalency certificates for honorable discharged or released veterans or for those persons determined by the commissioner to be economically disadvantaged (P.L. 1989, c. 525 repealed this subsection). A trust fund was established and the proceeds from the sale of 20 townships, many years ago, constitute the amount in the fund. The current market value is \$2.262 million. The interest is calculated on this amount and transferred to DOE twice a year, once in December and once in June. The funds are used towards the cost of a position that provides the services for which the law was intended.

	FY 2009 Actual	FY 2010 Actual	FY 2011 Budgeted
Federal Expenditures Fund	\$203,409	\$126,613	\$253,119
Other Special Revenue Funds	73,400	59,423	95,434
Total All Funds	\$276,809	\$186,036	\$348,553

Leadership and Staff Support: Shelley Reed, Truancy, Dropout, Alternative Education, and Homeless Education Coordinator, provides administrative leadership for the program. Staff support for the program includes:

Funding Source	Legislative Count -- Position
Federal Expenditures Fund	(0.5) Office Associate II
Other Special Revenue Funds	(1.0) Education Specialist III
Total	(1.5)

Performance Data: The Advisory Committee on Truancy, Dropout Prevention, Reintegration, Alternative and Homeless Education, which advises the Commissioner of Education on these matters, submits an annual report (by February 1st) to the Commissioner and to the joint standing committee of

the Legislature having jurisdiction over education. Performance information can be found at the Maine DOE website at: <http://www.maine.gov/education/tdae/index.htm>.

**Program: Migrant Education: State Grant Program
Department Team: Federal & State Program Services (Z079)**

Program Purpose: The purpose of this program is to assist all migrant students in meeting challenging academic standards and achieving graduation from high school (or a GED program) with an education that prepares them for responsible citizenship, further learning, and productive employment.

Population Served: The program provides services to children of migrant workers or workers themselves under the age of 22 and without a high school diploma or GED. The 2009-2010 State NCLB Report Card for Maine reports assessment results for migrant student enrolled in grades 3 to 8 and in high school during the 2008-2009 school year.

Program Area	Enrollment
Migrant Students	43
Total	43

Financial Data: Federal funding received for this program is authorized under Title I, Part C of the Elementary and Secondary Education Act of 1965, as amended.

	FY 2009 Actual	FY 2010 Actual	FY 2011 Budgeted
Federal Expenditures Fund	\$438,008	\$611,535	\$1,215,894
Total All Funds	\$438,008	\$611,535	\$1,215,894

Leadership and Staff Support: Rachelle Tome, Director, provides administrative leadership for the program. Staff support for the program includes:

Funding Source	Legislative Count -- Position
Federal Expenditures Fund	(1.0) Education Specialist II (1.0) Education Specialist III (0.288 FTE) Migrant Education Field Recruiter (0.288 FTE) Migrant Education Field Recruiter
Total	(2.0) Legislative Count – Positions (0.576) FTE

Performance Data: Performance-related data for the Migrant Education Program is collected and reported to the United States Department of Education (USDE) in compliance with Part C of Title I of the federal Elementary and Secondary Education Act (ESEA). The 2009-2010 State NCLB Report Card for Maine can be found at: <http://www.maine.gov/education/nclb/reportcard/stasum.pdf>.

Note: Maine Migrant Education Program information, including links to federal requirements, can be found at the Maine DOE website at: <http://www.maine.gov/education/nclb/tic/index.html>.

**Program: Gaining Early Awareness & Readiness for Undergraduate Programs
(GEAR UP)
Department Team: Federal & State Program Services (Z079)**

Program Purpose: The purpose of this program is to increase the number of students from low-income families who are prepared to enter and succeed in postsecondary education. The program provides multi-year grants to States and partnerships to provide services at high-poverty middle and high-schools.

Population Served:

Program Area	Enrollment
School districts	18
Schools	47
Students	2,957
Total	--

Financial Data: This discretionary grant program is authorized under the Higher Education Act of 1965, as amended, Title IV.

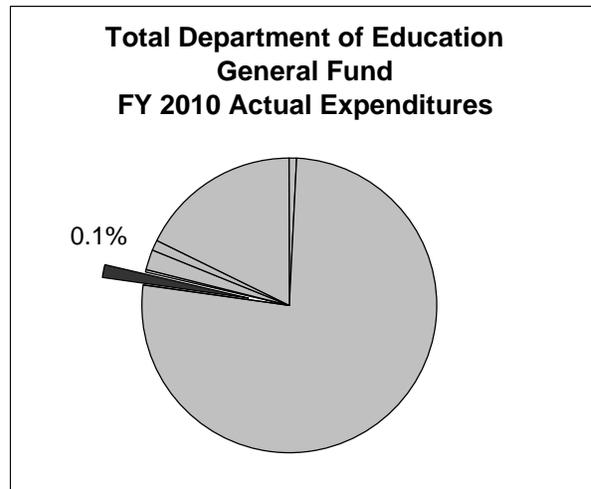
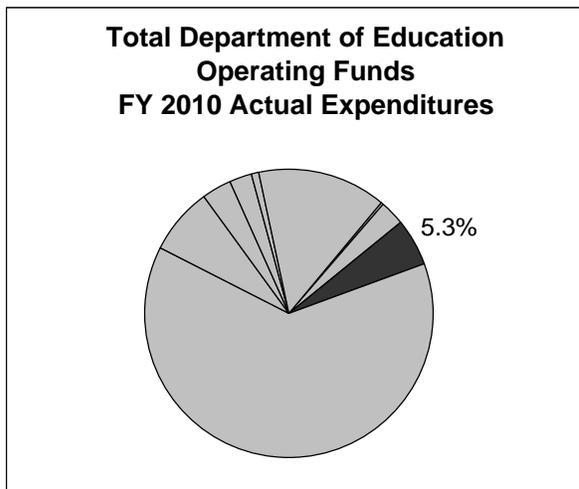
	FY 2009 Actual	FY 2010 Actual	FY 2011 Budgeted
Federal Expenditures Fund	\$2,831,456	\$2,864,626	\$3,100,112
Total All Funds	\$2,831,456	\$2,864,626	\$3,100,112

Leadership and Staff Support: David Stockford, Special Services Team Leader provides the overall leadership for the program. The program is administered by the Maine Support Network in collaboration with the Maine Parent Federation and the Finance Authority of Maine (FAME). Because the Maine Support Network, the Maine Parent Federation and FAME are independent agencies, their employees are not included as part of the State’s “position count.”

Performance Data: The United States Department of Education (USDE) requires submission of an Annual Performance Report (APR) for GEAR UP State and Partnership projects that describes their progress in meeting project goals and objective for the reporting period.

Note: GEAR UP performance information can be found at the USDE website at:
<http://www2.ed.gov/programs/gearup/performance.html>

Federal and State Program Services



	<u>FY 2009 Actual Expenditures</u>	<u>FY 2010 Actual Expenditures</u>	<u>FY 2011 Budgeted Expenditures</u>
General Fund			
Educator Certification	\$537,318	\$540,471	\$558,298
Other	\$262,748	\$263,991	\$153,516
Federal Expenditures Fund			
School Improvement Grants	\$377,447	\$367,165	\$2,021,146
Title I Grants to Local Educ. Agencies	\$49,322,630	\$47,084,164	\$52,339,443
Truancy, Dropout Prevention, Reintegration Alternative & Homeless Ed.	\$203,409	\$126,613	\$253,119
Migrant Education: State Grant Program	\$438,008	\$611,535	\$1,215,894
Gaining Early Awareness & Readiness for Undergrad Programs (GEAR UP)	\$2,831,456	\$2,864,626	\$3,100,112
Other	\$445,748	\$216,575	\$3,049,426
Other Special Revenue Funds			
Criminal History Record Check Fund	\$351,407	\$311,224	\$475,352
Truancy, Dropout Prevention, Reintegration Alternative & Homeless Ed.	\$73,400	\$59,423	\$95,434
Other	\$0	\$10,226	\$23,934
Federal ARRA Funds			
School Improvement Grants	\$0	\$0	\$11,118,773
Title I Grants to Local Educ. Agencies	\$0	\$23,932,605	\$13,190,951
Other	\$0	\$135,811	\$0
Summary			
Total General Fund	\$800,066	\$804,462	\$711,814
Total Federal Expenditures Fund	\$53,618,698	\$51,270,678	\$61,679,139
Total Other Special Revenue Funds	\$424,807	\$380,873	\$594,720
Total Federal ARRA Funds	\$0	\$24,068,416	\$24,309,724
Total All Funds	\$54,843,571	\$76,524,429	\$87,295,397

	Fund	Appropriation Account	Unit
FEDERAL AND STATE PROGRAM SERVICES TEAM (Z079)			
> Dept. of Education Programs that map directly to the budget			
Federal and State Program Services	10	Z079-72	1400,Z079
Educator Certification	10	Z079-72	1401,1402
Criminal Records History Check Fund	14	Z014-82	Z014
School Improvement Grants	13/20	Z079-13	3055
Title I Grants to Local Education Agencies (LEAs)	13/20	Z079-13	3056,3057
Truancy, Dropout Prevention, Reintegration, Alternative and Homeless Education	13/14/20	Z079-01	3001,3002, 4001,Z079
Migrant Education: State Grant Program (NCLB Title I-C)	13	Z079-13	3060
Gaining Early Awareness & Readiness for Undergraduate Programs (GEAR UP)	13	Z079-13	3059
> Dept. of Education Programs included in Governmental Evaluation Act Program Evaluation Report that do not map directly to the budget			
School Counselors			
School Approval			
Maine Schools Emergency Preparedness			